

**CONSULTANT: ROY L. PHILLIPS, CIC, CISR, CPIA, AAI
V.P. KING-PHILLIPS INSURANCE AGENCY**

A. INSURANCE LICENSES:

I hold the following active license in the State of Texas:

- A. GENERAL LINES AGENT-PROPERTY & CASUALTY**
- B. GENERAL LINES AGENT-LIFE AND GROUP**
- C. TEXAS RISK MANAGER LICENSE**

B. PROFESSIONAL INDUSTRY DESIGNATIONS:

I hold the following professional designations:

- A. CERTIFIED INSURANCE COUNSELOR**
- B. CERTIFIED INSURANCE SERVICE REPRESENTATIVE**
- C. CERTIFIED PROFESSIONAL INSURANCE AGENT**
- D. ACCREDITED ADVISOR IN INSURANCE**

C. ARBITRATION EXPERIENCE/SPECIAL MASTER

I am a designated party arbitrator in accordance with the rules of the American Arbitration Association. I have served the Court as a sole arbitrator and clients as a designated party arbitrator. I have also served the Court as an appointed Special Master.

D. STATE BAR OF TEXAS MEMBERSHIPS:

NON-ATTORNEY MEMBERSHIP:

I am a member of the Texas State Bar-ADR Section.

I am a member of the Insurance Law Section-Texas Bar

I am a member of the Business Law Section-Texas Bar

E. CONFLICT RESOLUTION:

I am a member of the Association for Conflict Resolution.

F. INSURANCE COMPANY EXPERIENCE:

I have 19 years experience as a company underwriter, Adjuster, marketing representative, branch manager and Vice President of a Regional Carrier.

G. INSURANCE CARRIER LOBBYIST:

During my tenure as Vice President of an insurer, I served the Texas Insurance Industry as a lobbyist in the legislative sessions of 1973-1979. My area of specialty was Chapter 21 of the Texas Insurance Code, which included Licensing laws, Unfair Competition Statute and Claims related Statutes. (Now appearing under Section 541)

H. LICENSED INSURANCE AGENT:

I have been a licensed Agent in the State of Texas since 1983. I am currently Vice President of King-Phillips Insurance Agency, a firm providing a full range of insurance products to the insuring public.

I. INSURANCE INDUSTRY CONSULTANT:

I am an independent Consultant offering litigation consulting. I have provided expert witness testimony related to the “Standards of Care” owned by Insurance Carriers, Insurance Agents and Managing General Agents, including coverage interpretation. I represent both Plaintiffs and Defendants in these specialized areas. I have been retained in “standards” and “coverage” cases over 100 times in Texas, and have provided deposition or trial testimony 38 times.

TOTAL CASES RETAINED:	201
FOR THE DEFENSE:	115
FOR THE PLAINTIFF:	86

STANDARD OF CARE RETAINED:	126
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J. EDUCATIONAL COURSES CERTIFIED:

I have continuing education courses qualified under the auspices of the Texas Department of Insurance for the following subjects:

A. LEGAL RESPONSIBILITY OF INSURANCE AGENTS: INCLUDES RECENT TEXAS RULINGS CONCERNING DUTIES OF AGENTS, PORTIONS OF THE TEXAS INSURANCE CODE RELATED TO STANDARD OF CARE FOR AGENTS, AND INSURANCE COMPANIES.

B. ETHICS AND INSURANCE REGULATIONS INCLUDES ETHICS REQUIREMENTS FROM THE TEXAS INSURANCE CODE, AND CERTAIN REGULATIONS FROM THE TEXAS INS. CODE.

K. PUBLICATIONS/INSURANCE FACULTY EXPERIENCE:

I have been published in Texas and National publications concerning the legal duties of Insurance Agents, along with many other articles concerning insurance related activities. I served as an Adjunct Professor in the CE Insurance Department at the University of Houston from 1965 until 1976. I have served on the Texas Faculty of the National Association of Certified Insurance Service Representatives. Courses which I taught included:

A. COMMERCIAL GENERAL LIABILITY

B. BUSINESS AUTOMOBILE LIABILITY

C. WORKERS' COMPENSATION

D. LEGAL ASPECTS OF INSURANCE

E. COMMERCIAL PROPERTY COVERAGES

F. COMMERCIAL INLAND MARINE COVERAGES

From 1975 until 1995, I served on the National Faculty of the National Association of Insurance Counselors, and lectured on insurance coverage subjects at 142 CIC Institutes throughout the United States. These courses included agency operations, ethics, duties to insureds and carriers, and coverages.

Colonel Phillips served on active duty at Ft. Bragg, N.C. with the 77th Special Forces Group Airborne, the original green berets, and served as Chief of Training

with the 75th MAC., ending his 28 years active and reserve career. He is past president of the Reserve Officers' Association of Texas, and a member of the National Association for Uniformed Services of the U.S.

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